

#### **VAIBHAV GEMS LIMITED**

SHAREHOLDING PATTERN **AS ON 30th September 2009** 

(1)(a) Statement showing Share Scrip Code: VAIBHAVGEM (NSE) **Statement showing Shareholding Pattern** 

532156 (BSE)

**AS ON 30th September 2009** 

	532156 (BSE)								
Category	Catogory of Shareholder (II)	Catogory of Shareholder (II) (III)	Total Number of Shares (IV)	Number of Share held in demateralized form (V)	Percentag	Total Shareholding as a Percentage of total number of shares		Shares pledged or otherwise encumbered	
Code (I)					Percentage	As a Percentage of (A+B+C) (VII)		As a percentage (IX) = (VIII)*100/(IV)	
Α	Share holding of Promoter & Promoter Group	-	-	-	-	-	-	-	
1.	Indian	-	-	-	-	-	-	-	
a.	Individual/ Hindu Undivided Family	6	142,468	142,468	0.76%	0.45%	-	-	
b.	Central Goverment/State Government(s)	-	-	-	-	-	-	-	
c.	Bodies Corporate	4	2,616,112	2,616,112	13.89%	8.25%	2,554,332	97.64	
d.	Financial Institutions/Bank	-	-	-	-	-	-	-	
e.	Any Other	-	-	-	-	-	-	-	
	Sub-Total (A) (1)	10	2,758,580	2,758,580	14.64%	8.70%	2,554,332	97.64	
2	Foreign								
a.	Individual (Non- Resident Individual/Foreign Individual	2	49,040	49,040	0.26%	0.15%	28,140	57.38	
b.	Bodies Corporate	3	1,513,800	1,443,800	8.04%	4.78%	-	-	
C.	Institutions	-	-	-	-	-	-	-	
d.	Any Other	-	-	-	-	-	-	-	
	Sub-Total (A) (2)		1,562,840	1,492,840	8.30%	4.93%	28,140	57.38	
	Total Shareholding of Promoter and Promoter Group A=(A)(1)+(A) (2)	15	4,321,420	4,251,420	22.94%	13.63%	2,582,472	59.76%	
В	Public Shareholding	-	-	-	-	-	-	-	
1.	Institutions	-	-	-	-	-	-	-	
a.	Mutaul Funds/ UTI	-	-	-	-	-	-	-	
b.	Financial Institutions/Banks	-	-	-	-	-	-	-	
c.	Central Govermant/State Goverment(s)	-	-	-	-	-	-	-	
d.	Venture Capital Funds	-	-	-	-	-	-	-	
e.	Insurance Companies		-	-	-	-	-	-	

f.	Foreign Institutional Investors	1	2,354,811	2,354,811	12.50%	7.43%	-	-
g.	Foreing Venture Capital Investors	-	-	-	-	-	-	-
h.	Any Other	1	200	200	0.00%	0.00%		
	Sub-Total (B) (1)	2	2,355,011	2,355,011	12.50%	7.43%		0.00%
2.	Non-Institutions						-	-
a.	Bodies Corporate	313	1,012,302	1,011,702	5.37%	3.19%	-	-
b.	Individuals-						-	-
	(i) Individual shareholders holding nominal share capital up to Rs. 1 Lakh	5274	1,564,331	1,525,585	8.30%	4.94%	-	-
	(ii) Individual shareholders holding nominal share capital in excess of Rs. 1 Lakh	16	458,823	458,823	2.44%	1.45%	-	-
c.	Any Other						-	-
	Foreign Corporate Bodies	1	8,913,529	8,913,529	47.32%	28.12%	-	-
	NRI (Non- Resident Indians)	53	179,573	151,173	0.95%	0.57%	-	-
	Clearing Members	45	32,884	32,884	0.17%	0.10%	-	-
	Sub-Total (B) (2)	5,702	12,161,442	12,093,696	64.56%	38.37%	-	-
	Total Public Shareholding (B)=(B)(1)+(B) (2)	5,704	14,516,453	14,448,707	77.06%	45.08%		-
	Total (A)+(B)	5,719	18,837,873	18,700,127	100.00%	59.43%	2,582,472	13.71%
С	Shares held by Custodians and against which Depository receipts have been issued	1	12,860,600	12,860,600	xxx	40.57%	-	-
	Grand Total (A)+(B)+(C)	5,720	31,698,473	31,560,727	xxx	100.00%	2,582,472	8.15%

# (I) (b) Statement showing shareholding of persons belonging to the category "Promoter and Promoter Group" $\ensuremath{\mathsf{Group}}$ "

			Total Shares held	Shares Pledged or otherwise encumbered			
Sr. No. (I)	Name of the Shareholder (II)	Number of Shares (III)	Shares as percentage of total Number of shares (i.e. Grand Total (A)+(B)+(C) indicated in statement at para (I)(a) above) (IV)	Number (V)	percentage	As a % of grand total (A)+ (B) + (C) of sub- clause (I) (a) (VII)	
1	BRETT PLASTICS PVT LTD	2,554,332	8.06%	2,554,332	100.00	8.06	
	SONY MIKES						

2	HOLDINGS LTD	808,600	2.55%	-	-	-
3	PUNAG LIMITED	635,200	2.00%	-	-	-
4	STS HOLDING LTD	70,000	0.22%	-	-	-
5	IKRAMULLAH	62,800	0.20%	-	-	-
6	RAHIMULLAH	54,600	0.17%	-	-	-
7	SHIVRAM PROPERTIES PRIVATE LIMITED	50,400	0.16%	-	-	-
8	SUNIL AGRAWAL	28,140	0.09%	28,140	100.00	0.09
9	SURESH PUNJABI	20,900	0.07%	-	-	-
10	SHEELA AGARWAL	14,953	0.05%	-	-	-
11	SANJEEV AGRAWAL	8,320	0.03%	-	-	-
12	STP EXIM PRIVATE LIMITED	5,780	0.02%	-	-	-
13	REENGUS EXIM PRIVATE LIMITED	5,600	0.02%	-	-	-
14	SHEFALI AGRAWAL	1,400	0.00%	-	-	-
15	THANWAR DAS	395	0.00%	-	-	-
	TOTAL	4,321,420	13.63%	2,582,472		8.15

## (I) (c) Statement showing shareholding of persons belonging to the category "Public" and holding more than 1% of the total number of shares

S.No.	Name of the Shareholder	Number of Shares	Shares as percentage of total Number of shares (i.e.Grand Total (A)+(B)+(C) indicated in statement at para (I)(a) above)
1	FID FUNDS (MAURITIUS) LIMITED	2,354,811	7.43%
	TOTAL	2,354,811	7.43%

#### (I) (d) Statement showing details of locked-in-shares

Sr.No.	Name of the Shareholder	Category of Shareholders (Promoter/Public)	Number of locked -in Shares	Shares as percentage of total Number of shares (i.e. Grand Total (A)+(B)+(C) indicated in statement at para (I) (a) above)
1.	NIL			
	TOTAL			

#### (II) (a) Statement showing details of Depository Receipts (DRs)

S.No.	Type of Outstanding (ADRs, GDRs, SDRs etc)	Number of outstanding DRs	Number of Shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares (i.e. Grand Total (A)+(B)+ (C) indicated in statement at para (I) (a) above
1	Global Depository Receipts	1,286,060	12,860,600	40.57%
	TOTAL	1,286,060	12,860,600	40.57%

### (II) (b) Statement showing Holding of Depository Receipt (DRs), where underlying shares are in excess of 1% of the total number of shares

Sr.No.	Name of DR Holder	Type of Outstanding (ADRs, GDRs, SDRs etc)	Number of Shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares(i.e. Grand Total (A)+(B)+(C) indicated in statement at para (I) (a) above
1	The Bank of New York*	GDR	12,860,600	40.57%
	TOTAL	-	12,860,600	40.57%

<sup>\*</sup>Note :- An application has been made to the Bank of New York for providing details of GDR holders. However they have replied that due to Belgian Bank Secrecy Laws, Euroclear bank is not able to provide the details.